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Nikko AM Global Internet ETF

Stock Code:
9072 (USD counter)
3072 (HKD counter)

NikkoAM E-Games Active ETF

Stock Code:
9091 (USD counter)
3091 (HKD counter)

(each a “**Sub-Fund**” and collectively, the “**Sub-Funds**”)

*(sub-funds of Nikko AM Hong Kong Exchange Traded Funds Series (the “**Trust**”), a Hong Kong umbrella unit trust authorised under Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong)*

Announcement

Change of Trustee of the Trust and the Sub-Funds

Dear Unitholders,

Unless otherwise stated, capitalised terms used in this Announcement shall have the same meaning ascribed to them in the prospectus of the Trust (the “**Prospectus**”) dated 8 June 2020.

Nikko Asset Management Hong Kong Limited, the Manager of the Trust and the Sub-Funds (the “**Manager**”), wishes to inform Unitholders of the Sub-Funds of the following updates in relation to the Trust and the Sub-Funds:

A. Change of trustee of the Trust and the Sub-Funds

With effect from 30 August 2021 (the “**Effective Date**”), BNP Paribas Trust Services (Hong Kong) Limited (the “**Retiring Trustee**”) will be retiring as trustee of the Trust pursuant to Clause 31.1 of the trust deed of the Trust (the “**Trust Deed**”). BNP Paribas Securities

Services, acting through its Hong Kong Branch (the “**New Trustee**”) will be appointed as the new trustee of the Trust.

BNP Paribas Securities Services (“**BNPPSS**”) is a *Société en commandite par actions* (SCA) incorporated under the laws of France with its registered office located at 3 Rue d’Antin, 75002 Paris, France. The New Trustee has its principal place of business at 21/F, PCCW Tower, Taikoo Place, 979 King’s Road, Quarry Bay, Hong Kong.

The New Trustee is regulated by the Hong Kong Monetary Authority and is licensed by the SFC to conduct Type 1 (dealing in securities) regulated activity. It currently acts as trustee of funds authorised by the SFC.

The Retiring Trustee is a wholly-owned subsidiary of BNPPSS. The change of trustee is due to the consolidation of trustee business within BNPPSS. The senior management of BNPPSS has decided to consolidate SFC-authorized products under one single entity (the New Trustee), therefore it was determined that the New Trustee will replace the Retiring Trustee as the trustee of the Trust.

The New Trustee commits to providing services to the Trust and the Sub-Funds in accordance with the Trust Deed.

The retirement of the Retiring Trustee is subject to, and shall take effect at the same time as, the New Trustee takes up office as the trustee of the Trust and the Sub-Funds in place of the Retiring Trustee.

B. Amendments to the Trust Deed

The Trust Deed will be amended on or around 9 June 2021 by way of a supplemental deed among the Manager, the Retiring Trustee and the New Trustee to reflect the retirement of the Retiring Trustee and the appointment of the New Trustee with effect from and including the Effective Date.

C. Implications

The above changes do not require Unitholders’ approval pursuant to the Trust Deed and applicable laws and regulations.

The Trust and the Sub-Funds will continue to be managed by the same personnel, adopting and utilising the same investment objectives and strategies as prior to the changes. There is no change in the operation of the Sub-Funds or the manner in which the Sub-Funds are being managed, as a result of the changes. The changes do not have any effect on existing investors.

The changes will not result in any changes to the features applicable to the Trust or the Sub-Funds, save for the change of trustee of Trust. There will be no change to the risk profile of the Trust or the Sub-Funds due to the changes. There are no matters/impact arising from the changes that may materially prejudice the existing investors’ rights or interests.

Following the changes, the fee level and costs in managing the Sub-Funds (including the trustee fee payable by each Sub-Fund) will remain unchanged. Furthermore, any cost related to implementing the changes will be borne by the New Trustee.

D. General

The revised Prospectus and Key Facts Statements of the Sub-Funds, reflecting the changes described in this Announcement, will be published on the Trust's website at www.nikkoam.com.hk/etf (this website has not been reviewed by the SFC) and HKEx's website at www.hkex.com.hk on the Effective Date. Copies of the Trust Deed, as amended according to section B above, are available for inspection free of charge at any time during office hours at the office of the Manager (see address below) on or after 10 June 2021.

Investors who have any enquiries regarding the above may contact the Manager at 24/F Man Yee Building, 60-68 Des Voeux Road Central, Hong Kong or on +852 3940 3900 during office hours.

Nikko Asset Management Hong Kong Limited

日興資產管理香港有限公司

as Manager of the Trust and the Sub-Funds

2 June 2021

重要提示：此乃重要文件，需閣下即時垂注。如閣下對本公告的內容有疑問，應尋求專業意見。

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管理人對本公告所載的資料於刊發日期的準確性負全責，並確認經一切合理查詢後，就其所知及所信，於本公告刊發日期，本公告內表達的意見乃經審慎周詳的考慮後作出。

證監會認可不等於對計劃作出推介或認許，亦不是對計劃的商業利弊或表現作出保證，更不代表該計劃適合所有投資者，或認許該計劃適合任何個別投資者或任何類別投資者。

日興資產管理環球互聯網 ETF

股份代號：
9072（美元櫃台）
3072（港元櫃台）

日興資產管理電子遊戲主動型 ETF

股份代號：
9091（美元櫃台）
3091（港元櫃台）

（各自為「子基金」及統稱為「子基金」）

（日興資產管理香港 ETF 基金系列（「信託」）的子基金，
該信託為根據香港法例第 571 章《證券及期貨條例》第 104 條獲認可之香港傘子單位信託）

公告 更改信託及子基金的受託人

親愛的單位持有人：

除另有說明外，本公告所用的詞彙具有日期為 2020 年 6 月 8 日信託的基金說明書（「**基金說明書**」）所賦予的相同涵義。

信託及子基金的管理人日興資產管理香港有限公司（「**管理人**」）謹此通知子基金的單位持有人有關信託及子基金的以下更新：

A. 更改信託及子基金的受託人

自 2021 年 8 月 30 日（「**生效日期**」）起，BNP Paribas Trust Services (Hong Kong) Limited（「**退任受託人**」）將根據信託的信託契據（「**信託契據**」）第 31.1 條退任信託的受託人。BNP Paribas Securities Services（透過其香港分行行事）（「**新受託人**」）將獲委任為信託的新受託人。

BNP Paribas Securities Services（「**BNPPSS**」）為根據法國法例註冊成立的股份制有限合夥企業，其註冊辦事處位於 3 Rue d'Antin, 75002 Paris, France。新受託人的主要營業地點位於香港鰂魚涌英皇道 979 號太古坊電訊盈科中心 21 樓。

新受託人受香港金融管理局規管，並獲證監會發牌進行第 1 類（證券交易）受規管活動。新受託人現時擔任證監會認可基金的受託人。

退任受託人為 BNPPSS 的全資附屬公司。更改受託人是由於在 BNPPSS 內部整合受託人業務。BNPPSS 的高級管理層已決定將證監會認可產品整合於單一實體（新受託人）旗下，因此決定由新受託人取代退任受託人擔任信託的受託人。

新受託人承諾根據信託契據向信託及子基金提供服務。

待新受託人就任信託及子基金的受託人以取代退任受託人後，退任受託人的退任方作實並於就任的同時生效。

B. 信託契據的修訂

信託契據將於 2021 年 6 月 9 日或前後以管理人、退任受託人與新受託人之間訂立補充契據之方式修訂，以反映自生效日期（包括該日）起生效之退任受託人的退任及新受託人的委任。

C. 涵義

根據信託契約及適用法律法規，以上更改毋須經單位持有人批准。

信託及子基金將繼續由上述更改前的同一組人員管理，並採納及運用上述更改前的相同投資目標及策略。子基金的運作或管理子基金的方式並無因上述更改而有變。上述更改對現有投資者並無任何影響。

除更改信託的受託人外，上述更改不會導致適用於信託或子基金的特色有任何變動。信託或子基金的風險狀況不會因上述更改而出現變化。概無因上述更改引致的事件／影響會對現有投資者的權利或利益造成實質損害。

在上述更改後，管理子基金的費用水平及成本（包括各子基金應付的受託人費用）將維持不變。此外，與實行上述更改相關的任何成本將由新受託人承擔。

D. 一般資料

反映本公告所述變動的經修訂基金說明書及產品資料概要將於生效日期在信託的網站 www.nikkoam.com.hk/etf（此網站未經證監會審閱）及港交所網站 www.hkex.com.hk 刊登。按上文 B 節所述修訂的信託契據副本，可於 2021 年 6 月 10 日或之後於辦公時間內任何時間在管理人的辦事處（地址見下文）可供免費查閱。

投資者如對於以上內容有任何查詢，可於辦公時間在香港德輔道中 60-68 號萬宜大廈 24 樓或致電 +852 3940 3900 聯絡管理人。

Nikko Asset Management Hong Kong Limited

日興資產管理香港有限公司

作為信託及子基金的管理人

2021 年 6 月 2 日