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## **NikkoAM E-Games Active ETF**

Stock Code:  
9091 (USD counter)  
3091 (HKD counter)

(the “**Sub-Fund**”)

*(a sub-fund of Nikko AM Hong Kong Exchange Traded Funds Series (the “**Trust**”),  
a Hong Kong umbrella unit trust authorised under Section 104 of the Securities and Futures Ordinance  
(Cap. 571) of Hong Kong)*

### **Announcement Update of Variable Annual Management Fee**

Dear Unitholders,

Unless otherwise stated, capitalised terms used in this Announcement shall have the same meaning ascribed to them in the prospectus of the Trust and the Sub-Fund dated 30 August 2021 (the “**Prospectus**”).

Nikko Asset Management Hong Kong Limited, the Manager of the Trust and the Sub-Fund (the “**Manager**”), wishes to inform Unitholders of the Sub-Fund of the update of variable annual management fee in relation to the Sub-Fund, as described below.

#### **Update of Variable Annual Management Fee**

The Sub-Fund adopts a “Variable Annual Management Fee” (as described in the Prospectus) such that the management fee level may be adjusted annually according to past performance. For further details, please refer to the section “Fees and expenses” in Appendix 2 of the Prospectus.

In accordance with the calculation methodology of the Variable Annual Management Fee stated in the Prospectus, the management fee for the period of 1 May 2022 to 30 April 2023

will remain unchanged at **0.50% per annum** of the Net Asset Value of the Units and will be effective from 1 May 2022 (the “Effective Date”).

The details of the calculation are as follows:

The default fee rate is 0.95% per annum.

For the year ending 31 December 2021, the TR is as below:

	1 year TR	3 year TR	5 year TR
TR (annualised)	0.29%	N.A.	N.A.

TR conditions:

- a) 1 year TR < 7% ⇒ 10 basis points (0.10%) discount
- b) 3 year track record not available ⇒ 15 basis points (0.15%) discount
- c) 5 year track record not available ⇒ 20 basis points (0.20%) discount

Therefore the fee from 1 May 2022 to 30 April 2023 is  $0.95\% - 0.10\% - 0.15\% - 0.20\% =$  **0.50%**.

The revised product key facts statement of the Sub-Fund reflecting the update described in this Announcement will be published on the Trust’s website at [www.nikkoam.com.hk/etf](http://www.nikkoam.com.hk/etf) (this website has not been reviewed by the SFC) and HKEx’s website at [www.hkex.com.hk](http://www.hkex.com.hk) on or around the Effective Date. The Prospectus will also be updated to reflect the update described in this Announcement as soon as reasonably practicable and will be published in the same manner.

Investors who have any enquiries regarding the above may contact the Manager at 24/F Man Yee Building, 60-68 Des Voeux Road Central, Hong Kong or on +852 3940 3900 during office hours.

**Nikko Asset Management Hong Kong Limited**

**日興資產管理香港有限公司**

as Manager of the Trust and the Sub-Fund

1 April 2022

**重要提示：**香港聯合交易所有限公司、香港交易及結算所有限公司（「**港交所**」）、香港中央結算有限公司及香港證券及期貨事務監察委員會（「**證監會**」）對本公告之內容概不負責，對其準確性或完整性亦不發表任何聲明，並表明不會就本公告全部或任何部分內容而產生或因依賴該等內容而引致之任何損失承擔任何責任。

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如閣下對本公告的內容有疑問，應諮詢閣下的股票經紀、銀行經理、律師、會計師或其他專業顧問。

## 日興資產管理電子遊戲主動型 ETF

股份代號：

9091（美元櫃台）

3091（港元櫃台）

（「**子基金**」）

（日興資產管理香港**ETF**基金系列（「**信託**」）的子基金，  
該信託為根據香港法例第571章《證券及期貨條例》第104條獲認可之香港傘子單位信託）

### 公告

### 更新浮動年度管理費

親愛的單位持有人：

除另有說明外，本公告所用的詞彙具有日期為2021年8月30日信託及子基金的基金說明書（「**基金說明書**」）所賦予的相同涵義。

信託及子基金的管理人日興資產管理香港有限公司（「**管理人**」）謹此通知子基金的單位持有人，按下文所述方式更新有關子基金的浮動年度管理費。

子基金採納「浮動年度管理費」，即管理費可每年根據過去表現作調整。有關詳情，請參閱基金說明書附錄二「費用及開支」一節。

根據基金說明書所述浮動年度管理費的計算方法，自2022年5月1日至2023年4月30日期間的管理費將維持不變，為每年單位資產淨值的**0.50%**，將自2022年5月1日（「**生效日期**」）起生效。

計算的詳情如下：

預設收費率為每年**0.95%**。

截至2021年12月31日止年度，總回報如下：

	1年總回報	3年總回報	5年總回報
總回報 (年化率)	0.29%	不適用	不適用.

總回報條件：

- |               |                  |
|---------------|------------------|
| a) 1年 < 7%    | ⇒ 10個基點(0.10%)折扣 |
| b) 未可提供3年往績記錄 | ⇒ 15個基點(0.15%)折扣 |
| c) 未可提供5年往績記錄 | ⇒ 20個基點(0.20%)折扣 |

因此，自2022年5月1日至2023年4月30日的費用為0.95% – 0.10% – 0.15% – 0.20% = **0.50%**。

反映本公告所述最新資料的子基金的經修訂產品資料概要將於生效日期或前後在信託的網站[www.nikkoam.com.hk/etf](http://www.nikkoam.com.hk/etf)（此網站未經證監會審閱）及港交所網站[www.hkex.com.hk](http://www.hkex.com.hk)刊登。基金說明書將在合理可行情況下盡快更新，以反映本公告所述的最新資料，並將以相同方式刊登。

投資者如對於以上內容有任何查詢，可於辦公時間在香港德輔道中60-68號萬宜大廈24樓或致電+852 3940 3900聯絡管理人。

**Nikko Asset Management Hong Kong Limited**

**日興資產管理香港有限公司**

作為信託及子基金的管理人

2022年4月1日