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This document is important and requires your immediate attention. If you are in any doubt about the contents of this Announcement, you should seek professional advice. Investment involves risks which may result in significant loss as there is no guarantee on investment returns.

The Manager accepts full responsibility for the accuracy of the information contained in this Announcement and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.

## **Nikko AM Hong Kong Exchange Traded Funds Series (the "Trust")**

*(a Hong Kong umbrella unit trust authorised under Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong)*

### **Nikko AM Global Internet ETF**

*(Stock Code: 9072 (USD counter) / 3072 (HKD counter))*

### **NikkoAM E-Games Active ETF**

*(Stock Code: 9091 (USD counter) / 3091 (HKD counter))*

*(collectively, the "**Sub-Funds**" and each a "**Sub-Fund**")*

## **Announcement Cessation of Market Maker**

Dear Unitholders,

Unless otherwise stated, capitalised terms in this Announcement have the same meanings as defined in the prospectus of the Trust and the Sub-Funds dated 30 August 2021 (the "**Prospectus**").

Nikko Asset Management Hong Kong Limited (the "**Manager**"), the manager of the Trust and the Sub-Funds, hereby announces that Phillip Securities Pte Ltd. has applied to the SEHK for surrendering its securities market maker permit in respect of the Sub-Funds and has ceased to be a market maker to the USD counter and HKD counter of each Sub-Fund with effect from 13 July 2022 (the "**Cessation**").

Following the Cessation, Flow Traders Hong Kong Limited is the sole market maker to the USD counter and HKD counter of each Sub-Fund as at the date of this Announcement. Please refer to the Manager's website [www.nikkoam.com.hk/etf](http://www.nikkoam.com.hk/etf) (this website has not been reviewed by the SFC) for the latest list of market makers of each Sub-Fund. The Prospectus will be updated in due course to reflect the Cessation.

Investors should exercise caution and consult their professional financial advisers before dealings in the Units of the Sub-Funds or otherwise deciding on the course of actions to be taken in relation to their Units.

If you have any queries or require further information in relation to any aspect of this Announcement, please contact the Manager at 24/F Man Yee Building, 60-68 Des Voeux Road Central, Hong Kong or on +852 3940 3900 during office hours.

**Nikko Asset Management Hong Kong Limited**  
日興資產管理香港有限公司  
as Manager of the Trust and the Sub-Fund

Date: 4 August 2022

### 重要提示：

香港交易及結算所有限公司、香港聯合交易所有限公司（「**聯交所**」）、香港中央結算有限公司及證券及期貨事務監察委員會（「**證監會**」）對本公告之內容概不負責，對其準確性或完整性亦不發表任何聲明，並表明不會就本公告全部或任何部分內容而產生或因依賴該等內容而引致之任何損失承擔任何責任。

證監會認可不等於對計劃作出推介或認許，亦不是對計劃的商業利弊或表現作出保證，更不代表該計劃適合所有投資者，或認許該計劃適合任何個別投資者或任何類別投資者。

此乃重要文件，需閣下即時垂注。如閣下對本公告的內容有任何疑問，應尋求專業意見。投資涉及風險。投資回報並無保證，或可導致重大損失。

管理人對本公告所載資料的準確性負全責，並確認經作出一切合理查詢後，就其所知及所信，本公告並無遺漏其他事實致使其任何陳述有所誤導。

## 日興資產管理香港 ETF 基金系列（「信託」）

（根據香港法例第 571 章《證券及期貨條例》第 104 條獲認可之香港傘子單位信託）

### 日興資產管理環球互聯網 ETF

（股份代號：9072（美元櫃台）／3072（港元櫃台）

### 日興資產管理電子遊戲主動型 ETF

（股份代號：9091（美元櫃台）／3091（港元櫃台）

（統稱為「子基金」，各自為「子基金」）

### 公告 終止莊家

親愛的單位持有人：

除另有說明外，本公告所用的詞彙具有日期為2021年8月30日信託及子基金的基金說明書（「**基金說明書**」）所賦予的相同涵義。

信託及子基金的管理人日興資產管理香港有限公司（「**管理人**」）謹此宣佈，Phillip Securities Pte Ltd.已向聯交所申請放棄其有關子基金的證券莊家執照，並且自2022年7月13日起已停止擔任各子基金美元櫃台及港元櫃台的莊家（「**終止**」）。

終止後，於本公告日期，Flow Traders Hong Kong Limited 為各子基金美元櫃台及港元櫃台的唯一莊家。如欲了解各子基金的最新莊家名單，請於管理人的網站([www.nikkoam.com.hk/etf](http://www.nikkoam.com.hk/etf))（此網站未經證監會審閱）查閱。基金說明書將適時更新以反映終止。

投資者在買賣子基金單位或就其單位決定行動計劃之前，應審慎行事並諮詢其專業財務顧問。

閣下如對本公告任何方面有任何疑問或需要進一步資料，請於辦公時間在香港德輔道中 60-68 號萬宜大廈 24 樓或致電+852 3940 3900 聯絡管理人。

**Nikko Asset Management Hong Kong Limited**

日興資產管理香港有限公司

作為信託及子基金的管理人

日期：2022 年 8 月 4 日