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This document is important and requires your immediate attention. If you are in any doubt about the contents of this Announcement, you should seek professional advice. Investment involves risks which may result in significant loss as there is no guarantee on investment returns.

The Manager accepts full responsibility for the accuracy of the information contained in this Announcement and confirms, having made all reasonable enquiries, that to the best of its knowledge and belief, there are no other facts the omission of which would make any statement misleading.

## **Nikko AM Global Internet ETF**

*Stock Codes:*

*9072 (USD counter)*

*3072 (HKD counter)*

## **NikkoAM Metaverse Theme Active ETF**

*Stock Codes:*

*9091 (USD counter)*

*3091 (HKD counter)*

(each a "Sub-Fund", collectively the "Sub-Funds")

*(sub-funds of Nikko AM Hong Kong Exchange Traded Funds Series (the "Trust"), a Hong Kong umbrella unit trust authorised under Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong)*

### **Announcement**

#### **Update of definition of "Business Day"**

#### **Change of directors of the Manager**

Dear Unitholders,

Unless otherwise stated, capitalised terms in this Announcement have the same meanings as defined in the prospectus of the Trust and the Sub-Funds dated 28 April 2023 (the "Prospectus").

Nikko Asset Management Hong Kong Limited (the "Manager"), the manager of the Trust and the Sub-Fund, hereby announces the following changes:

#### **1. Update of the definition of "Business Day"**

In light of the upcoming implementation of trading of Hong Kong securities and derivatives under severe weather conditions by the HKEX with effect from 23 September 2024, the definition of "Business Day" in respect of the

Sub-Funds for the purposes of the Prospectus will be updated from 23 September 2024 as follows:

Current Definition	New Definition from 23 September 2024
<p>“Business Day” in respect of a Sub-Fund, means, unless the Manager and the Trustee otherwise agree, a day on which (a)(i) the SEHK is open for normal trading; and (ii) the relevant market on which Securities included in the relevant Index or the Sub-Fund are traded is open for normal trading; or (iii) if there is more than one such market, the market designated by the Manager is open for normal trading, and (b) (where applicable) the relevant Index is compiled and published, or such other day or days as the Manager and the Trustee may agree from time to time provided that if on any such day, the period during which the relevant market is open for normal trading is reduced as a result of a Number 8 or higher Typhoon Signal, Black Rainstorm warning or other similar event, such day shall not be a Business Day unless the Manager and the Trustee otherwise agree.</p>	<p>“Business Day” in respect of a Sub-Fund, means, unless the Manager and the Trustee otherwise agree, a day on which (a)(i) the SEHK is open for normal trading; and (ii) the relevant market on which Securities included in the relevant Index or the Sub-Fund are traded is open for normal trading; or (iii) if there is more than one such market, the market designated by the Manager is open for normal trading, and (b) (where applicable) the relevant Index is compiled and published, or such other day or days as the Manager and the Trustee may agree from time to time.</p>

All references to “Business Day” in the Prospectus should be construed accordingly, including but not limited to the definitions of “Dealing Day” and “Settlement Day”.

The Manager confirms that (i) the above changes will not amount to a material change to the Sub-Funds; (ii) there will be no material change or increase in the overall risk profile of the Sub-Funds following the changes; and (iii) there will be no material adverse impact on Unitholders’ rights or interests (including changes that may limit Unitholders’ ability in exercising their rights).

The definition of “Business Day” in the Trust Deed will also be amended with effect from 23 September 2024 by way of a Supplemental Deed between the Manager and the Trustee as follows:

Current Definition	New Definition from 23 September 2024
<p>“Business Day”, in respect of a Sub-Fund, means, unless the Manager and the Trustee otherwise agree or otherwise specified in the relevant Prospectus of a Sub-Fund, a day on which (a)(i) the SEHK is open for normal trading; and (ii) the relevant market on which Index Securities and/or Index Futures Contracts, or Securities and/or Futures Contracts comprised in the Sub-Fund (as the case may be), are traded is open for normal trading; or (iii) if there is more than one such market, the market designated by the Manager is open for normal trading, and (b) (where applicable) the relevant Index is compiled and published, or such other day or days as the Manager and the Trustee may agree from time to time provided that if on any such day, the period during which the relevant market is open for normal trading is reduced as a result of a Number 8 or higher Typhoon Signal, Black Rainstorm warning or other similar event, such day shall not be a Business Day unless the Manager and the Trustee otherwise agree.</p>	<p>“Business Day”, in respect of a Sub-Fund, means, unless the Manager and the Trustee otherwise agree or otherwise specified in the relevant Prospectus of a Sub-Fund, a day on which (a)(i) the SEHK is open for normal trading; and (ii) the relevant market on which Index Securities and/or Index Futures Contracts, or Securities and/or Futures Contracts comprised in the Sub-Fund (as the case may be), are traded is open for normal trading; or (iii) if there is more than one such market, the market designated by the Manager is open for normal trading, and (b) (where applicable) the relevant Index is compiled and published, or such other day or days as the Manager and the Trustee may agree from time to time.</p>

The Trustee does not have any objection to the foregoing amendment to the Trust Deed.

## **2. Change of directors of the Manager**

Mr. Yasuaki Ogiwara will resign as a director of the Manager with effect from 1 October 2024. Following his resignation, the directors of the Manager will be Ms. Eleanor Seet Oon Hui and Mr. Kiyotaka Ryu.

## **3. General**

The Prospectus will be revised by way of an addendum in due course to reflect the changes above, as well as an update in Mr. Ryu's biography, and will be published on the Manager's website at [www.nikkoam.com.hk/etf](http://www.nikkoam.com.hk/etf) (this website has not been reviewed by the SFC) and SEHK's website at [www.hkex.com.hk](http://www.hkex.com.hk).

If you have any queries or require further information in, please contact the Manager at 24/F Man Yee Building, 60-68 Des Voeux Road Central, Hong Kong or on +852 3940 3900 during office hours.

Yours faithfully,

**Nikko Asset Management Hong Kong Limited**

日興資產管理香港有限公司

as Manager of the Trust and the Sub-Funds

23 September 2024

## 重要提示：

香港交易及結算所有限公司（「**港交所**」）、香港聯合交易所有限公司（「**聯交所**」）、香港中央結算有限公司及香港證券及期貨事務監察委員會（「**證監會**」）對本公告之內容概不負責，對其準確性或完整性亦不發表任何聲明，並表明不會就本公告全部或任何部分內容而產生或因依賴該等內容而引致之任何損失承擔任何責任。

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此乃重要文件，需閣下即時垂注。如閣下對本公告的內容有疑問，應尋求專業意見。投資涉及風險。投資回報並無保證，或可導致重大損失。

管理人對本公告所載的資料的準確性負全責，並確認經一切合理查詢後，就其所知及所信，本公告並無遺漏任何其他事實，致使其中所載任何陳述產生誤導。

## 日興資產管理環球互聯網ETF

股份代號：

9072（美元櫃台）

3072（港元櫃台）

## 日興資產管理元宇宙主題主動型ETF

股份代號：

9091（美元櫃台）

3091（港元櫃台）

（各自為「子基金」及統稱為「子基金」）

（日興資產管理香港 ETF 基金系列（「**信託**」）的子基金，該信託為根據香港法例第 571 章《證券及期貨條例》第 104 條獲認可之香港傘子單位信託）

### 公告

「營業日」的定義更新

管理人的董事變更

親愛的單位持有人，

除另有說明外，本公告所用的詞彙與日期為 2023 年 4 月 28 日信託及子基金的基金說明書（「**基金說明書**」）所定義者具有相同涵義。

信託及子基金的管理人日興資產管理香港有限公司（「**管理人**」）謹此宣佈以下變更：

### 1. 「營業日」的定義更新

鑒於港交所即將於2024年9月23日開始實施香港證券及衍生工具於惡劣天氣下的交易，基金說明書所指子基金的「營業日」定義將自2024年9月23日起更新如下：

目前定義	自2024年9月23日起的新定義
<p>「營業日」指就某一子基金而言，除非管理人及受託人另行協定，否則為(a)(i)香港聯交所開市進行正常交易的日子；及(ii)相關指數或子基金所包含的證券在相關市場開市進行正常交易的日子；或(iii) 如相關市場超過一個，則為管理人指定的市場開市進行正常交易的日子；及(b)（如適用）編製及公佈相關指數的日子，或管理人及受託人可能不時協定的其他一個或多個日子，惟倘因懸掛八號或以上颱風訊號、發出黑色暴雨警告或其他類似事件，而導致相關市場於上述任何日子縮短正常交易時間，則該日不視為營業日，除非管理人及受託人另行協定。</p>	<p>「營業日」指就某一子基金而言，除非管理人及受託人另行協定，否則為(a)(i)香港聯交所開市進行正常交易的日子；及(ii)相關指數或子基金所包含的證券在相關市場開市進行正常交易的日子；或(iii) 如相關市場超過一個，則為管理人指定的市場開市進行正常交易的日子；及(b)（如適用）編製及公佈相關指數的日子，或管理人及受託人可能不時協定的其他一個或多個日子。</p>

基金說明書凡提述「營業日」均應作相應解釋，包括但不限於「交易日」及「交收日」。

管理人確認(i)上述變動將不構成子基金的重大變動；(ii)子基金的整體風險狀況於該等變動後不會有重大變動或增加；及(iii)單位持有人的權利或權益不會受到重大不利影響（包括可能限制單位持有人行使其權利的能力的變動）。

信託契據中「營業日」的定義亦將自2024年9月23日起經由管理人與受託人訂立補充契據作出修訂如下：

目前定義	自2024年9月23日起的新定義
<p>「營業日」指就某一子基金而言，除非管理人及受託人另行協定，或於子基金的相關基金說明書另行指明，否則為(a)(i)香港聯交所開市進行正常交易的日子；及(ii)子基金所包含的指數證券及／或指數期貨合約、或證券及／或期貨合約（視情況而定）在相關市場開市進行正常交易的日子；或(iii) 如相關市場超過一個，則為管理人指定的市場開市進行正常交易的日子；及(b)（如適用）編製及公佈相關指數的日子，或管理人及受託人可能不時協定的其他一個或多個日子，惟倘因懸掛八號或以上颱風訊號、發出黑色暴雨警告或其他類似事件，而導致相關市場於上述任何日子縮短正常交易時間，則該日不視為營業日，除非管理人及受託人另行協定。</p>	<p>「營業日」指就某一子基金而言，除非管理人及受託人另行協定，或於子基金的相關基金說明書另行指明，否則為(a)(i)香港聯交所開市進行正常交易的日子；及(ii)子基金所包含的指數證券及／或指數期貨合約、或證券及／或期貨合約（視情況而定）在相關市場開市進行正常交易的日子；或(iii) 如相關市場超過一個，則為管理人指定的市場開市進行正常交易的日子；及(b)（如適用）編製及公佈相關指數的日子，或管理人及受託人可能不時協定的其他一個或多個日子。</p>

受託人對以上所述信託契據的修訂概無反對。

## **2. 管理人的董事變更**

Yasuaki Ogiwara先生將辭任管理人的董事，自2024年10月1日起生效。於彼辭任後，管理人的董事將為Eleanor Seet Oon Hui女士及Kiyotaka Ryu先生。

## **3. 一般資料**

基金說明書將以補充文件的方式適時更新，以反映上述變更及 Ryu 先生履歷的更新，並將管理人網站 [www.nikkoam.com.hk/etf](http://www.nikkoam.com.hk/etf)（此網站未經證監會審閱）及聯交所網站 [www.hkex.com.hk](http://www.hkex.com.hk) 刊登。

閣下如對本公告任何方面有任何疑問或需要進一步資料，請於辦公時間在香港德輔道中 60-68 號萬宜大廈 24 樓或致電+852 3940 3900 聯絡管理人。

**Nikko Asset Management Hong Kong Limited**

日興資產管理香港有限公司

作為信託及子基金的管理人

謹啓

日期：2024 年 9 月 23 日