

GLOBAL CITIZEN WITH ASIAN DNA

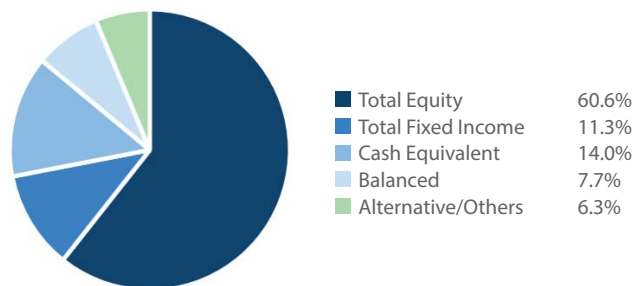


* As of 30 Sep 2020

OUR GLOBAL CAPABILITIES

Qualitative insight through a broad range of global investment capabilities

Total Assets Under Management (USD 249.1bn)*



Our Specialisations

Equities
Global, Asia Pacific ex Japan, Japan, Australia, China, New Zealand

Fixed Income
Global Bonds, Green Bonds, Asian Local Currency, Asian Credit, Australia, New Zealand

Multi-Asset
Global, Emerging Markets

ETFs
Equities, Bonds, REITs

OUR PEOPLE

905 Employees[‡]
across all asset classes, located globally

209 Investment Professionals^{*}

107 Portfolio Managers^{*}
with extensive, and locally-driven research coverage

60+ years in Asia



One of the **largest** distributor networks in the Asian region

- 1st** SRI Fund established in Japan^{*1}
- 1st** investment in-kind ETF established in Japan^{*1}
- 1st** Robotics Equity fund established in Japan^{*1}
- 1st** Asia ex Japan REIT ETF established in Singapore^{*1}

*1 Based on Nikko Asset Management's research



Carbon Neutral Organisation

Nikko AM works with the UK-based international organisation Carbon Footprint Ltd. to offset carbon emissions through offset programmes, and has been certified as carbon neutral since 2018.

* As of 30 Sep 2020. Including overseas subsidiaries, affiliates and minority joint ventures. ^ May not add up to Total AUM due to rounding. Consolidated assets under management and advice of Nikko AM, including subsidiaries but excluding minority affiliates and minority joint ventures.