

As of Date	21-Jan-21
FUND INFORMATION	
Fund Name	NikkoAM E-Games Active ETF
Fund Currency	USD
NAV per Unit (Listed Class only)	14.2173
Asset Under Management (Total fund)	22662398.87
Total Shares in Issue (Listed Class only)	1594000

Constituent Name	ISIN	Sedol	Trading Ccy	Quantity of Shares	Price / Share	Market Value in USD	Weight
ARISTOCRAT LEISURE LTD	AU000000ALL7	6253983	AUD	12,150	31.37	295,974.21	1.31%
MEGAPORT LTD	AU000000MP15	BZ5ZWZ3	AUD	37,300	12.65	366,405.87	1.62%
NEXTDC LTD	AU000000NXT8	B5LMKP4	AUD	33,750	11.93	312,663.57	1.38%
ZTE CORP-H	CNE1000004Y2	B04KP88	HKD	122,000	23.30	366,710.67	1.62%
GOERTEK INC -A	CNE100000BP1	B2R9WZ2	CNY	74,200	42.32	485,618.53	2.14%
WUHU SANQI INTERACTIVE ENT-A	CNE1000010N2	B44DPG3	CNY	141,623	32.80	718,378.49	3.17%
PERFECT WORLD CO LTD-A	CNE1000018W6	B72TPR5	CNY	146,196	27.81	628,756.04	2.77%
BEIJING SINNET TECHNOLOGY-A	CNE100001S16	BJORTH3	CNY	99,600	17.86	275,097.40	1.21%
KEYWORDS STUDIOS PLC	GB008BQ38507	BBQ3850	GBP	17,540	26.50	637,974.97	2.82%
SQUARE ENIX HOLDINGS CO LTD	JP3164630000	6309262	JPY	7,700	5,870.00	436,410.08	1.93%
CAPCOM CO LTD	JP3218900003	6173694	JPY	6,700	6,870.00	444,423.99	1.96%
KOEI TECMO HOLDINGS CO LTD	JP3283460008	B60DR09	JPY	4,400	5,910.00	251,076.52	1.11%
SONY CORP	JP3435000009	6821506	JPY	12,500	10,605.00	1,279,931.23	5.65%
NINTENDO CO LTD	JP3756600007	6639550	JPY	1,000	62,760.00	605,966.88	2.67%
BANDAI NAMCO HOLDINGS INC	JP3778630008	B0JDQD4	JPY	4,600	9,303.00	413,187.15	1.82%
HOSIDEN CORP	JP3845800006	6439813	JPY	77,900	953.00	716,797.21	3.16%
NCSOFT CORP	KR7036570000	6264189	KRW	657	970,000.00	580,251.28	2.56%
PEARL ABYSS CORP	KR7263750002	BYX56S9	KRW	1,412	265,800.00	341,718.65	1.51%
NETJOY HOLDINGS LTD	KYG6429L1068	BMQBXX1	HKD	338,000	9.48	413,364.17	1.82%
PAGSEGURO DIGITAL LTD-CL A	KYG687071012	BFWK4R7	USD	8,870	57.11	506,565.70	2.24%
TENCENT HOLDINGS LTD	KYG875721634	BMMV2K8	HKD	12,400	682.50	1,091,772.47	4.82%
CD PROJEKT SA	PLOPTTC00011	7302215	PLN	2,796	253.20	189,824.84	0.84%
TEN SQUARE GAMES SA	PLTSQGM00016	BFZCQ74	PLN	1,500	477.00	191,850.00	0.85%
EMBRACER GROUP AB	SE0013121589	BK5MWJ3	SEK	15,400	202.70	376,561.27	1.66%
MICRO-STAR INTERNATIONAL CO	TW0002377009	6133450	TWD	96,000	129.00	442,776.37	1.95%
MEDIATEK INC	TW0002454006	6372480	TWD	18,000	934.00	601,094.66	2.65%
POWERTECH TECHNOLOGY INC	TW0006239007	6599676	TWD	110,000	100.00	393,292.96	1.74%
ACTIVISION BLIZZARD INC	US00507V1098	2575818	USD	10,050	94.60	950,730.00	4.20%
AMAZON.COM INC	US0231351067	2000019	USD	217	3,306.99	717,616.83	3.17%
BILIBILI INC-SPONSORED ADR	US0900401060	BFNLRN6	USD	3,610	123.88	447,206.80	1.97%
DOLBY LABORATORIES INC-CL A	US25659T1079	B04NJM9	USD	8,681	94.20	817,750.20	3.61%
ELECTRONIC ARTS INC	US2855121099	2310194	USD	5,434	145.97	793,200.98	3.50%
GDS HOLDINGS LTD - ADR	US36165L1089	BD6FLL7	USD	4,280	109.11	466,990.80	2.06%
HUYA INC-ADR	US44852D1081	BF4NQP6	USD	7,800	23.71	184,938.00	0.82%
MAIL.RU GROUP-GDR REGS	US5603172082	B53NQB3	USD	13,000	26.45	343,850.00	1.52%
MICROSOFT CORP	US5949181045	2588173	USD	3,118	224.97	701,456.46	3.10%
NETEASE INC-ADR	US64110W1027	2606440	USD	8,725	116.03	1,012,361.75	4.47%
NVIDIA CORP	US67066G1040	2379504	USD	470	554.70	260,709.00	1.15%
TELKOM INDONESIA PERSERO-ADR	US7156841063	2882228	USD	7,400	24.68	182,632.00	0.81%
SAMSUNG ELECTR-GDR REG S	US7960508882	4942818	USD	400	2,034.00	813,600.00	3.59%
TAIWAN SEMICONDUCTOR-SP ADR	US8740391003	2113382	USD	6,685	134.16	896,859.60	3.96%
TAKE-TWO INTERACTIVE SOFTWARE	US8740541094	2122117	USD	2,080	205.56	427,564.80	1.89%
CASH COMPONENT	NIL	NIL	USD	280,486	0.00	280,486.48	1.24%

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The NikkoAM E-Games Active ETF (the "Sub-Fund") is a fund constituted in the form of a unit trust and is a sub-fund of the Nikko AM Hong Kong Exchange Traded Funds Series. The units of the Sub-Fund (the "Units") are listed on The Stock Exchange of Hong Kong Limited (the "SEHK"). These Units are traded on the SEHK like listed stocks.

- Investment involves risk. Past performance or any prediction, projection or forecast is not indicative of future performance. The value of units and income from them may fall or rise. Investments in the Sub-fund is subject to investment risks, including the possible loss of principal amount invested. You should read the Prospectus for details including the risk factors and the arrangement in the event that the Sub-fund is delisted. Investors should also note that the Sub-Fund is different from a typical unit trust and units may only be created or redeemed directly by a participating dealer in large creation or redemption units. This document is issued by Nikko Asset Management Hong Kong Limited and has not been reviewed by the Securities and Futures Commission.

- The Sub-Fund's investment portfolio may fall in value due to any of the key risk factors below and therefore your investment in the Sub-Fund may suffer losses. There is no guarantee of the repayment of principal.

- The Sub-Fund's investments are concentrated in the software, internet and related services sectors, which is characterised by relatively higher volatility in price performance when compared to other economic sectors. The value of the Sub-Fund may be more volatile than that of a fund having a more diverse portfolio of investments and may be more susceptible to adverse economic, political, policy, foreign exchange, liquidity, tax, legal or regulatory event affecting the relevant sectors.

- The trading price of the Units on the SEHK is driven by market factors such as the demand and supply of the Units. Therefore, the Units may trade at a substantial premium or discount to the NAV.

- Subject to the Manager's discretion, the Manager may pay distributions to unitholders. There is no guarantee to the payment of distributions or frequency of payment. Unitholders will receive distributions in the base currency (USD) only. In the event that a unitholder has no USD account, the unitholder may have to bear the fees and charges associated with the conversion of such distributions, and bear bank or financial institution fees and charges associated with the handling of the distribution payment. Unitholders are advised to check with their brokers regarding arrangements for distributions.

- The Manager may at its discretion pay dividends out of capital. Payment of dividends out of capital or effectively out of capital amounts to a return or withdrawal of part of an investor's original investment or from any capital gains attributable to that original investment. Any such distributions may result in an immediate reduction in the NAV per Unit of the Sub-Fund.